

10/552259

JC20 Rec'd PCT/PTO 0.5 OCT 2003

PATENT  
(Docket No. 01084)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Hubert Baumgart  
Uwe Meisenburg  
Jan Weikard  
Markus Mechtel  
Wolfgang Fischer

Serial No.: This application is a National  
Phase Application of PCT/2004/090057, filed  
11. March 2004.

Filed: herewith

For: Mixtures Containing Initiators Which Can Be  
Activated By Actinic Radiation And Two And  
More Component Systems, Method For The  
Production And Use Thereof

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

X	Information Disclosure Statement
X	From PTO-1449
X	Cited References
X	German Text of the International Search Report
X	English Text of the International Search Report

Group Art Unit: Unknown

Examiner: Unknown

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PCT, Commissioner for Patents, P.O. BOX 1450,  
Alexandria, Virginia 22313-1450, on the date shown  
below.

October 5, 2005

Date

  
Marjorie Ellis

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Marjorie Ellis

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g) ), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- ☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

**COPIES PROVIDED:**

- ☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, U.S. patent application publications, copies of U.S. applications that were filed on or after June 30, 2003, and copies of U.S. applications that were filed before June 30, 2003 that are available in the Image File Wrapper System.
- ☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [\_\_\_\_], filed on [\_\_\_\_]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [\_\_\_\_] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

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- ☐ The following non-English language references were cited in the specification and/or ISR. The English language equivalents/translations for these references are being submitted in substitution for and/or in addition to the non-English references.
- ☐ English language abstract for the following non-English references are enclosed.
- ☒ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

6335381	EP 0 952 170
6482869	DE 198 53 813
2004/138389	DE 101 29 970
5621018	EP 0 632 329
5612391	
5612389	
5527925	

- ☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

**CERTIFICATION:**

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1);
- OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**

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Information Disclosure Statement  
Page 3

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☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:

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☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$180.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation **Deposit Account No. 23-3425** for any additional fees due hereunder.

Reg. No.: 33,772

Tel. No.: ( 248 ) 948-2021

Customer No. 26922  
Date: October 5, 2005

*Anne G. Sabourin*

SIGNATURE OF ATTORNEY and DATE

**Anne Gerry Sabourin**

Type or print name of attorney

**BASF Corporation**

**26701 Telegraph Road**

P.O. Address

**Southfield, Michigan 48034-2442**

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Date: **October 5, 2005**

Anne G. Sabourin  
SIGNATURE OF ATTORNEY and DATE  
Anne Gerry Sabourin  
Type or print name of attorney  
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26701 Telegraph Road  
P.O. Address  
Southfield, Michigan 48034-2442

FORM PTO-1449 (MODIFIED)		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. PAT-01084			
<b>LIST OF ART CITED BY APPLICANT</b> (Use sever of sheets if necessary)				In re Application of: BAUMGART, et al.			
				Serial No. <b>10/552259</b>			
				Filed: October 5, 2005			

  

U.S. PATENT DOCUMENTS							
Examiner initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6335381	01/01/02	Hovestadt, et al.	522	84	4/20/99
	AB	2004/138389	7/15/04	Baumgart, et al.	525	452	6/18/02
	AC	6482869	11/19/02	Bolte et al.	522	35	12/1/98
	AD	5621018	04/15/97	Chabreck, et al.	522	35	6/6/95
	AE	5612391	03/18/97	Chabreck, et al.	523	106	6/6/95
	AF	5612389	03/18/97	Chabreck, et al.	522	35	6/6/95
	AG	5527925	06/18/96	Chabreck, et al.	549	430	6/24/94
	AH						
	AI						
	AJ						
	AK						

  

FOREIGN PATENT DOCUMENTS							
Examiner initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATED YES NO	
	AL	EP 0 952 170	12.04.99	EP	C08G	18/81	X
	AN	DE 198 53 813	17.06.99	DE	C09J	175/14	X
	AO	DE 101 29 970	09.01.03	DE	C09D	175/14	X
	AP	EP 0 632 329	24.06.94	EP	G03F	7/031	X
	AM	EP 0 393 007	09.04.90	EP	B32B	27/40	X
	AO	EP 1 347 003	18.03.02	EP	C08G	18/10	X
	AP	EP 0 287 736	14.12.87	EP	C09D	3/72	X

  

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
AQ		
AR		

  

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".